



Practitioner's Docket No. 604.12-US1

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PCT
PATENT

26 JUL 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Duncan, et al.**

Group No.: **Not Yet Assigned**

Application No.: **09/856244**

Examiner: **Not Yet Assigned**

Filed: **May 17, 2001**

For: **Load Balancing Via Message Source Selection**

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Box IDS

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Respectfully submitted,

Date: July 23, 2001

By:

Robert D. Fish
Reg. No. 33,880

Attorneys for Applicant
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CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kristin J. Azcona

Date: July 23, 2001



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Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

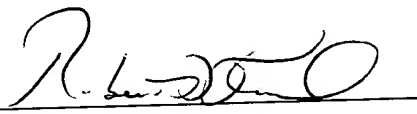
Applicant(s) respectfully request that the Examiner review the foregoing references, as set forth in the Form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Fish and Associates, LLP

Dated: July 23, 2001

By: _____



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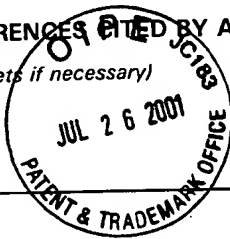
604.12-US1

SERIAL NO.

09/856244

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)



APPLICANT

Duncan, et al.

FILING DATE

May 17, 2001

GROUP

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,632,018	05/20/97	Electronic Mail System	395	200.04	09/13/93
	BB	5,765,033	06/09/98	System for Routing Electronic Mails	395	200.36	02/06/97
	CC	5,790,789	08/04/98	Method and Architecture for the Creation, Control and Deployment of Services Within A Distributed Computer Environment	395	200.32	08/02/96
	DD						
	EE						
	FF						
	GG						
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FOREIGN PATENT DOCUMENTS

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ							
	KK							
	LL							
	MM							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	NN	
	OO	
EXAMINER		DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Information Disclosure Statement

Title:
Inventor:
Filing Date:
Serial Number:

Load Balancing Via Message Source Selection
MindArrow - Duncan, et al.
May 17, 2001
09/856244

Matter Type:
Date of Deposit:
Matter #:
Enclosures:

Patent - US
July 23, 2001
604.12-US1
(1) IDS transmittal (1 page); (2) Information Disclosure
Statement (2 pages); (3) Form 1449 (1 page); (4) References
Cited; and (5) postcard.